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QUESTION 1

When may a financial institution share consumer information with non-affiliated third parties for marketing purposes?

- A. After disclosing information-sharing practices to customers and after giving them an opportunity to opt in.
- B. After disclosing marketing practices to customers and after giving them an opportunity to opt in.
- C. After disclosing information-sharing practices to customers and after giving them an opportunity to opt out.
- D. After disclosing marketing practices to customers and after giving them an opportunity to opt out.

Correct Answer: C

QUESTION 2

A private sector daycare's portal for parents stores their children's photos, allergy information and date of birth. A parent has asked about the portal's security requirements and in three months still not has received an answer. What is missing from the daycare's procedures?

- A. Ensuring transparency.
- B. Responding to the parent's request within 30 days.
- C. Ensuring strong encryption and security measures.
- D. Completing a real risk of significant harm assessment (RROSH).

Correct Answer: B

QUESTION 3

What is an exception to the Electronic Communications Privacy Act of 1986 ban on interception of wire, oral and electronic communications?

- A. Where one of the parties has given consent
- B. Where state law permits such interception
- C. If an organization intercepts an employee's purely personal call
- D. Only if all parties have given consent

Correct Answer: C

QUESTION 4



Which of the following became the first state to pass a law specifically regulating the practices of data brokers?

- A. Washington.
- B. California.
- C. New York.
- D. Vermont.

Correct Answer: D

QUESTION 5

Which federal law or regulation preempts state law?

- A. Health Insurance Portability and Accountability Act
- B. Controlling the Assault of Non-Solicited Pornography and Marketing Act
- C. Telemarketing Sales Rule
- D. Electronic Communications Privacy Act of 1986

Correct Answer: A

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